Increased Amygdala and Insula Activation During Emotion Processing in Anxiety-Prone Subjects

Murray B. Stein, M.D., M.P.H.
Alan N. Simmons, Ph.D.
Justin S. Feinstein, B.S.
Martin P. Paulus, M.D.

Objective: Increased amygdala reactivity during processing of certain types of emotional stimuli (e.g., fear, anger) has been observed in patients with anxiety disorders such as social phobia and posttraumatic stress disorder (PTSD). It is uncertain whether this heightened amygdala reactivity is specific to treatment-seeking patients with anxiety disorders or is a general feature of individuals with increased anxiety-related temperamental traits.

Method: Thirty-two physically healthy subjects 18–21 years old were recruited from a large pool of college students. Of these, 16 were chosen on the basis of scoring in the upper-15th percentile on a measure of trait anxiety (anxiety-prone group), and 16 were chosen on the basis of scoring in the normative range (40th–60th percentile). Subjects participated in functional magnetic resonance imaging (fMRI) during an emotion face assessment task that has been shown to reliably engage amygdala and associated limbic structures.

Results: Anxiety-prone subjects had significantly greater bilateral amygdala and insula activation to emotional faces than did the anxiety-normative comparison subjects. Higher scores on several measures assessing anxiety proneness (e.g., neuroticism, trait anxiety, and anxiety sensitivity) were associated with greater activation of the amygdala (predominantly left-sided) and the anterior insula (bilateral).

Conclusions: Increased amygdala and insula reactivity to certain types of emotional processing is seen in young adults with increased anxiety-related temperamental traits. Therefore, this brain emotion-processing profile may be a functional endophenotype for proneness to (certain kinds of) anxiety disorders.

Anxiety disorders are the most prevalent category of mental illness in the United States (1) and other countries (2) and are often associated with marked decrements in functioning and quality of life (3–5). In addition to these direct deleterious effects, anxiety disorders—which typically have their onset early in life (6)—increase the risk for the subsequent onset of depressive disorders (7, 8).

Given the importance of anxiety disorders, considerable effort is being directed toward better understanding their biological underpinnings. The amygdala plays a critical role in normal fear conditioning (9–12) and is increasingly being implicated in the pathophysiology of anxiety disorders (13, 14). For example, impaired ability to recognize fear from facial expressions as well as a lack of fearfulness in social contexts and the failure to acquire conditioned fear responses (15, 16) are observed after amygdala damage in humans. Further evidence for a critical role of the amygdala in the response to fear stems from observation of its activation to emotional (usually fearful or angry) human faces (possibly to the eyes themselves) (17) in numerous positron emission tomography (PET) and functional magnetic resonance imaging (fMRI) studies (13, 18–20).

Exaggerated amygdala activation to emotional human faces has been noted in several of the anxiety disorders, namely social anxiety disorder (21–24), and posttraumatic stress disorder (PTSD) (25, 26) and, less definitively, in panic disorder and generalized anxiety disorder (27). In contrast, amygdala hyperactivity has not been observed in either specific phobia (28) or obsessive-compulsive disorder (29). These differential task effects across anxiety disorders serve as a reminder that the neural circuitry of all anxiety disorders is not uniform.

Furthermore, Schwartz et al. (30) recently observed that exaggerated amygdala responses to novel emotional faces occur in adults identified in childhood as having the anxiety-related temperamental risk factor “behavioral inhibition,” even in the absence of a current DSM–IV anxiety disorder diagnosis, which prompted the authors to note the following:

[Discovery of a difference in brain activity between subjects with a psychiatric diagnosis and a control group should not always be regarded as a specific marker of the disorder. The difference may reflect instead a temperamental risk factor, or diathesis, for the diagnostic category under study. (p. 1953)]

One approach to testing the aforementioned hypothesis would involve studying amygdala activation to emotional
processing in nonclinical subjects (i.e., those who have not sought treatment for anxiety disorders), yet have high levels of anxiety-related traits that would be considered to render them “anxiety prone.” This approach may offer the advantage of providing insights into processes that underlie anxiety in both normative and pathological conditions (31). Temperamental risk factors that would be prime candidates for such investigation would include neuroticism, which is probably a nonspecific risk factor for anxiety and depressive disorders (32–34), and anxiety sensitivity (the fear of anxiety-related sensations) (35), which may be a more specific risk factor for certain anxiety disorders (e.g., panic disorder) (36–38).

Investigating subjects with anxiety proneness provides a unique opportunity to examine the neural systems that are important for mediating increased levels of anxiety and enables one to understand the processes that may be responsible for the development of anxiety disorders. It would be inappropriate, however, to confine such investigation to the amygdala. In addition to the amygdala, a network of structures that includes the insula, anterior cingulate gyrus, and medial prefrontal cortex is important to identify the emotional significance of a stimulus, generate an affective response, and regulate the affective state (39, 40). The insula has afferent and efferent connections to the medial and orbitofrontal cortices, anterior cingulate, and amygdala (41). Although insula activation has been frequently associated with disgust (42), there is increasing evidence of a broader role for this brain structure in emotion processing (43). Insula activation is also thought to be involved in differential positive versus negative emotion processing (44) and in the making of judgments about emotions based on facial expression (45). There is thus ample reason to consider the possibility that insula function may have been relatively neglected (i.e., compared with amygdala function) in human studies of anxiety-related psychopathology and to include the insula within our sphere of inquiry in this study.

The aim of this study was to use blood-oxygenation-level-dependent fMRI in combination with performance of an emotion-processing task known to engage limbic circuitry (46–48) to test the hypothesis that relative to subjects with normative levels of anxiety proneness, high anxiety-prone individuals show exaggerated activation in the amygdala and insula (but not in the fusiform gyrus, a region critical for the coding of facial stimuli) (49–51) during an emotion-coding task. In light of recent observations that medial prefrontal cortex activity may be reduced in patients with posttraumatic stress disorder (PTSD) in concert with amygdala hyperreactivity, perhaps reflecting inadequate top-down regulation of the amygdala by the medial prefrontal cortex in patients with anxiety disorders (26, 52), we also focused on task-related activation in the medial prefrontal cortex and its relationship with amygdala and insula activity in both the anxiety-prone group and the anxiety-normative group. These analyses were expected to shed additional light on the role of the amygdala and insula as key components in the neural circuits that mediate anxiety-related symptoms.

Method

Participants

This study was approved by the institutional review boards of the University of California, San Diego and San Diego State University. All subjects provided written informed consent to participate. Initially, approximately 3,000 undergraduate students from San Diego State University participated in screening using the Spielberger State-Trait Anxiety Inventory (53). Subsequently, subjects who scored high in trait anxiety (in the upper-15th percentile of the distribution) and subjects who had normative levels of trait anxiety (from the 40th–60th percentile of the distribution) were selected for further screening. Of these, approximately one in three expressed a willingness to participate in an fMRI study; approximately one in two of those willing to participate in an fMRI study could be contacted for further assessment; and approximately one in two of those who could be contacted proved eligible. Of those who proved eligible, 32 subjects were able to be studied during our available fMRI time slots: 14 women and two men with normal-trait anxiety scores (anxiety normative) and 12 women and four men with high-trait anxiety scores (anxiety prone [continuity corrected chi square=0.251, df=1, p=0.65]).

All subjects underwent a Structured Clinical Interview for DSM-IV (SCID-I) (54), which was modified to enable us to document the presence of subthreshold (i.e., did not fulfill full DSM-IV criteria because of insufficient number of symptoms and/or below diagnostic threshold for distress and/or interference) anxiety and mood disorders. Anxiety-prone subjects could have a DSM-IV diagnosis (full or subthreshold), but were not currently seeking or had ever sought treatment for their anxiety symptoms in the past. In the anxiety-prone group, seven subjects had no DSM-IV diagnosis (six of these subjects had subthreshold generalized anxiety disorder and/or social anxiety disorder); five subjects had generalized anxiety disorder only; three subjects had generalized anxiety disorder with social anxiety disorder; and one subject had generalized anxiety disorder, social anxiety disorder, panic disorder, and obsessive-compulsive disorder. Anxiety-normative subjects were those who were determined to have no DSM-IV disorders, even at the subthreshold level. None of the subjects had taken any psychotropic medications in the prior 12 months. Subjects habitually consumed less than 400 mg of caffeine daily. All subjects were trained to perform the emotional face task prior to testing during fMRI scanning. Subjects were paid to participate in the fMRI study.

Measures

Subjects completed the NEO Five Factor Inventory (55), a widely used 60-item self-report measure of personality, grouped into the following five major domains: neuroticism (N), extraversion (E), openness to experience (O), conscientiousness (C), and agreeableness (A). Subjects also completed the Anxiety Sensitivity Index, a 16-item self-report measure of the fear of anxiety-related sensations (35), and the Retrospective Self-Report of Behavioral Inhibition, a 30-item measure in which items were chosen to represent a broad range of behaviors associated with behavioral inhibition (56). The Alcohol Use Disorders Identification Test (57) and the Drug Abuse Screening Test (58) were also included.

Task

During fMRI, each subject was tested on a slightly modified (47) version of the Emotion Face Assessment Task (46, 48). During each 5-second trial, a subject was presented with a target face (on
INCREASED AMYGDALA AND INSULA ACTIVATION

TABLE 1. Group Characteristics (N=32)

<table>
<thead>
<tr>
<th>Variables</th>
<th>Anxiety-Normative Group (N=16)</th>
<th>Anxiety-Prone Group (N=16)</th>
<th>Analysis</th>
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<td>Mean</td>
<td>SD</td>
<td>Mean</td>
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<td>Trait anxiety</td>
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<td>Neuroticism</td>
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<tr>
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<td>Drug Abuse Screening Test</td>
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<td>1.5</td>
<td>0.9</td>
</tr>
</tbody>
</table>

a Degrees of freedom (df) differ because of missing data for some variables.

the top of the computer screen) and two probe faces (on the bottom of the screen) and was instructed to match the probe with the same emotional expression to the target by pressing the left or right key on a button box. A block consisted of six consecutive trials in which the target face is angry, fearful, or happy. During the sensorimotor control task, subjects were presented with 5-second trials of either wide or tall ovals or circles in an analogous configuration and instructed to match the shape of the probe to the target. Each block of faces and of the sensorimotor control task was presented three times in a pseudorandomized order. A fixation cross lasting 8 seconds was interspersed between each block presented at the beginning and end of the task (resulting in 14 fixation periods). For each trial, response accuracy and reaction time data were obtained. There were 18 trials (three blocks of six trials) for each face set as well as for shapes. The whole task lasted 512 seconds (matching the scan length).

Image Acquisition

During the task, one blood-oxygenation-level-dependent fMRI run was collected for each subject using a 1.5-Tesla Siemens ( Erlangen, Germany) scanner (T2-weighted echo planar imaging, TR=2000 msec, echo time=40 msec, 64×64 matrix, 2.0×2.0×4 mm axial slices, 256 repetitions). During the same experimental session, a T1-weighted image (MPRAGE, TR=11.4 msec, echo time=4.4 msec, flip angle=10°, field of view=256×256, 1 mm3 voxels) was obtained for anatomical reference. For preprocessing, voxel time series were interpolated to correct for nonsimultaneous slice acquisition within each volume and corrected for three-dimensional motion.

Image Processing and Analysis

All structural and functional image processing was done with the Analysis of Functional Neuroimages software package (59). Echo planar image intensity images were coregistered to the 128th image using a three-dimensional-coregistration algorithm. The time series of the alignments in the x, y, z and roll, pitch, yaw directions was used to obtain motion regressors for each subject. Because small motion corrections are similar in angle (e.g., roll) and displacement (e.g., x), we used only three motion parameters (roll, pitch, yaw) as nuisance regressors to account for motion artifacts. The four orthogonal regressors of interest were 1) happy, 2) angry, 3) fearful, and 4) circle/oval (i.e., shape) sensorimotor condition. These regressors were convolved with a modified gamma variate function to account for the delay and the dispersion brain response of the blood-oxygenation-level-dependent fMRI signal because of hemodynamics (60, 61). Additional regressors were used to model residual motion in the roll, pitch, and yaw directions as well as baseline and linear tendencies. The Analysis of Functional Neuroimages program three-dimensional deconvolve was used to calculate the estimated voxel-wise response amplitude. A Gaussian filter with full width at half maximum 4 mm was applied to the voxel-wise percent signal change data to account for individual variations in the anatomical landmarks.

Data for each subject were normalized to Talairach coordinates. Whole brain analyses were followed by a priori analysis of regions of interest using masks (defined by the Talairach demon atlas) (62) in the bilateral amygdala, insula, ventromedial prefrontal cortex (ventromedial prefrontal cortex; consisting of anterior cingulate, subgenual cingulate, and medial frontal gyrus, corresponding to Brodmann’s areas 24, 25, and 32) and primary visual cortex. Based on these areas of interests, it was determined via simulations that a voxel-wise a priori probability of 0.05 would result in a corrected cluster-wise activation probability of 0.05 if a minimum volume of 128 µl and two connected voxels (in the amygdala, which is a very small structure) or 512 µl and eight connected voxels (in all other regions of interest) were considered. The areas of interest were superimposed on each individual’s voxel-wise percent signal change brain image. Only activations within the areas of interest, which also satisfied the volume and voxel connection criteria, were extracted and used for further analysis. The corrected voxel-wise probabilities are as follows: amygdala, p<0.01; insular cortex, p<0.00007; medial prefrontal cortex, p<0.0001; and visual cortex, p<0.00007. These corrected voxel probabilities are based on Monte Carlo simulations using Analysis of Functional Neuroimages program AlphaSim with the filtered data and the a priori defined regions of interest.

Statistical Analysis

All behavioral analyses were carried out with SPSS 11.01. A between-subjects multivariate analysis of variance (MANOVA) was used to analyze the behavioral measures and neural activation patterns. For the imaging analyses, the voxel-wise percent signal
change data were entered into a mixed-model analysis of variance (ANOVA) with task contrast (face type-shape comparison condition) and group (anxiety-prone or anxiety-normative condition) as fixed factors and subjects as a random factor. We conducted correlational analyses to examine the relationship between self-rating scales of anxiety proneness and other traits and activation (as a percentage change from the baseline, shape-only condition) in the amygdala and insula during viewing of angry, fearful, and happy faces. We also conducted correlational analyses of the task-related activation in the ventromedial prefrontal cortex with that in the amygdala and insula; these analyses were conducted for anxiety-prone and anxiety-normative groups separately and for both groups combined.

Results

Group Characteristics

The two groups were significantly different on several indices of anxiety proneness (Table 1), including trait anxiety (which was the group selection criterion), neuroticism, and anxiety sensitivity, but not on retrospective behavioral inhibition. They also differed significantly on several measures of anxious and depressive symptoms. However, they were not significantly different in terms of age or years of education, nor did they differ on other measures of personality or drug and alcohol use.

Group Differences in Response Latency or Accuracy

There were no significant group differences in response latency or accuracy (Figure 1).

Group Differences in Functional MRI Blood-Oxygenation-Level-Dependent Activity

Whole brain analysis revealed significant task-related differences between anxiety-prone and anxiety-normative subjects in several regions (Table 2). Among these regions was the bilateral anterior insular cortex (Figure 2), with anxiety-prone subjects having significantly greater activation for contrasts between emotional faces and shapes; in fact, anxiety-prone subjects tended to activate, while anxiety-normative subjects showed deactivation for this contrast (whereas there was no significant main effect of task in the insular cortex). Whole brain analysis revealed task-specific activation in the amygdala in both groups, but significant differences between groups were evident only in the amygdala region-of-interest analyses, which detected significantly greater bilateral (although more pronounced on the left side) amygdala activation in anxiety-prone subjects compared with anxiety-normative subjects (Figure 3). Different face types (angry, fear, or happy) did not differentially activate insular cortex or amygdala, nor was there a significant interaction between group and face type in these structures. There were no significant differences in fusiform activation between anxiety-prone and anxiety-normative subjects.

Relationships Between Measures of Anxiety Proneness and Limbic Activation

We examined correlations between the measures of anxiety proneness and the extent of task-related activation in the amygdala and insula (and, for comparison purposes, with the fusiform gyrus, where we did not expect to see significant correlations). For both structures, mean activation (emotional faces, shapes, percentage) was extracted from regions of activation (coordinates shown in Figure 2 and Figure 3); correlations with other measures of activation (e.g., angry faces, shapes, percentage yielded very similar results.

Insula Activation

Greater task-related insula activation in the bilateral insular cortex was associated with higher levels on the measures of anxiety proneness that differentiated the groups (Spielberger State-Trait Anxiety Inventory, right insula: r=0.41, df=31, p=0.02; left insula: r=0.54, df=31, p=0.001; NEO Neuroticism, right insula: r=0.41, df=31, p=0.02; left insula: r=0.59, df=31, p<0.0005; Anxiety Sensitivity Index [Figure 4], right insula: r=0.55, df=30, p=0.001; left insula: r=0.54, df=30, p=0.002).

Amygdala Activation

Greater bilateral task-related amygdala activation was associated with higher Spielberger State-Trait Anxiety Inventory (right amygdala: r=0.42, df=31, p=0.018; left
amygdala: r=0.45, df=31, p=0.010), unilaterally with NEO Neuroticism (right amygdala: r=–0.030, df=31, p=0.87; left amygdala: r=0.391, df=31, p=0.027), but not with Anxiety Sensitivity Index (right amygdala: r=0.095, df=30, p=0.61; left amygdala: r=0.274, df=30, p=0.14).

**Fusiform Activation**

There was no relationship between task-related fusiform gyrus activation and any of the measures of anxiety proneness.

**Relationship With Activation in Ventromedial Prefrontal Cortex**

In order to determine whether task-related limbic and paralimbic activations in the amygdala and insular cortex were associated with top-down modulatory areas, we examined correlations between task-related activation in an anatomical region of interest in the ventromedial prefrontal cortex (Brodmann’s areas 24, 25, 32, including the anterior cingulate, subgenual cingulate, and medial frontal gyrus) and activation in the insula and amygdala (Table 3). Most correlations were positive, and there were several (Table 3) where the correlation with the ventromedial prefrontal cortex in anxiety-normative subjects was more than twice as strong as in anxiety-prone subjects. This difference in magnitude of correlations reached statistical significance (p=0.04) only for the correlation of the left insula with the ventromedial prefrontal cortex.

**Discussion**

Using an emotion-processing task previously demonstrated to engage limbic circuitry (46, 47), we found increased amygdala and insula activation in young adult anxiety-prone nonpatients relative to subjects with normative levels of anxiety proneness. We also found that the magnitude of activation in these limbic regions correlated moderately with several measures of anxiety proneness, such as anxiety sensitivity and neuroticism. Thus, although increased amygdala responsiveness has been seen in several groups of patients with anxiety (and depressive) disorders, our findings suggest that it extends to individuals with subthreshold symptoms and/or traits, such as neuroticism or anxiety sensitivity, that can be considered to characterize them as anxiety prone. This interpretation is consistent with observations from other research groups that reported amygdala activation to emotional (e.g., fearful) faces to be associated with individual variation in anxiety-related personality traits, such as threat sensitivity (63) or social anxiety (64).

The increased amygdala activation to emotional face processing, which has been observed in many studies of patients with anxiety disorders (13), sets the stage for our finding that this phenomenon extends to our nonclinical cohort of anxiety-prone persons and is, in fact, echoed in another recent report of persons described as “phobia-prone” (65). The finding of increased insula activation in anxiety-prone subjects, however, may not have been as expected, based on the extant literature on anxiety disorders. It is difficult to know whether anxiety disorder studies have failed to find differential insular activation (with the exception of a study of specific phobia, where increased right insular activation was seen) (28) or whether this has not been adequately explored. However, in addition to the considerable preclinical literature that posits a role for the insula in the recognition of emotion in faces (45, 66, 67) and human functional neuroimaging work that demonstrates insular activation during the processing of salient emotional images (68), human studies strongly suggest that the insula is instrumental in the detection and interpretation of certain internal bodily states (69, 70).
right insula, in particular, has been associated with the extent of “interoceptive awareness” of and discomfort with one’s own physiological (heart rate) response to emotionally valent pictures (71). This construct of interoceptive awareness shares many features with anxiety sensitivity, which as we already noted is elevated in persons prone to anxiety disorders and is correlated with insula activity in our study. We hope that these observations will lead to more careful scrutiny of insular activity in future studies of psychopathology so that the role of this structure in emotion processing and its functional relationship to other elements of anxiety-related circuitry can be more fully elaborated (72).

Given recent observations in patients with PTSD that there may be deficient reciprocal inhibitory control of amygdala activation by the ventromedial prefrontal cortex (26, 52), we evaluated relationships between task-related activation in the ventromedial prefrontal cortex with that in the insular cortex and amygdala. Contrary to expectation, we did not find negative correlations between the ventromedial prefrontal cortex activity and activity in the insula and/or amygdala. However, we did find that anxiety-normative subjects tended to have stronger correlations between the ventromedial prefrontal cortex and bilateral insula (and left-sided amygdala) than did anxiety-prone subjects, supporting the notion of increased functional “connectivity” in the anxiety-normative group. This increased amygdala ventromedial prefrontal cortex coupling, as indexed by increased correlated activity, may be consistent with emerging literature suggesting that increased integration of these functional connections reflects a more adaptive (e.g., less anxious) emotional phenotype (73, 74). We must emphasize, however, that statistical power to test for significant differences in the strength of correlations between groups was low and, hence, these results must be considered preliminary. We do intend to conduct more extensive functional connectivity analyses (75) in this data set to permit a more detailed exploration of cortical-limbic relationships; these data will be published separately.

This study has a number of other important limitations. Although the cohort size was sufficient to clearly demonstrate differences in the extent of amygdala and insula activation between the anxiety-normative and anxiety-prone groups, these region-specific comparisons were predicated on a priori hypotheses, and it is possible that other regional differences went undetected. Similarly, although we found significant correlations between amygdala and insula activation and various measures of anxiety proneness, it is possible that cryptic confounders (i.e., other individual differences that we did not think to measure) better explain these relationships. The data are cross-sectional, and some of the individuals identified as “anxiety prone” already showed evidence of having an anxiety disorder; thus, prospective data will be needed to definitively separate future risk from current symptoms.
The predominance of women in this study—although reflecting the increased prevalence of anxiety disorders in women in the community—may mean that the findings cannot be generalized to men. Each of these limitations necessitates that our results be replicated. When such replications occur, it will be important to document characteristics of the functional neuroimaging task, such as test-retest reliability, and to include other tasks that engage the same (and disparate) emotion-processing circuits.

Findings from this study highlight some of the limits of our understanding of the relationship between anxiety-related psychopathology and brain circuitry and pose several questions that can only be answered with further research. It seems clear from these data that altered amygdala functioning is not disorder specific, nor is it necessarily indicative of psychopathology per se. Thus, attempts to cross-validate our current anxiety disorder diagnostic categories with evidence of functional alterations in specific circuits are unlikely to prove feasible. On the other hand, it may be possible to start with the observation of functional differences in amygdala and/or insula functioning in certain individuals and then determine what other characteristics they share (e.g., longitudinal course, treatment outcome). In other words, exaggerated amygdala and insula hyperactivity to certain types of emotional processing could tentatively be considered an endophenotype that may transcend our current diagnostic categories and serve as the cornerstone for further empirical nosological investigations.

In fact, there is already evidence amassing that the amygdala response to emotional face processing is moderated by genetic factors such as functional variation in the serotonin transporter, raising the possibility that this particular endophenotype may well lend itself to further biological characterization. The question, then, remains, “What is the nature of this endophenotype?” Is it a prototype for anxiety proneness, for mood- and anxiety-related psychopathology more generally, or for some even broader construct, such as emotional resilience? In order to answer this question, functional neuroimaging will
need to be conducted on an epidemiological scale, with close attention to issues of cohort frame, generalizability, confounders, and reliability. Only under such rigorous conditions will it be possible in the future to use neurobiological measures in general, and functional neuroimaging findings in particular, as the basis for a diagnostic system for anxiety- and mood-related psychopathology.

Received Aug. 2, 2005; revisions received Jan. 20 and March 28, 2006; accepted April 13, 2006. From the Departments of Psychiatry and Family and Preventive Medicine, University of California, San Diego; Psychiatry Service, Veterans Affairs, San Diego Health Care System, San Diego. Address correspondence and reprint requests to Dr. Stein, Department of Psychiatry, University of California, San Diego, 8990 Villa La Jolla Dr., Suite B-218, La Jolla, CA 92037; mstein@ucsd.edu (e-mail).

Dr. Stein reports that he has received compensation for professional services in the previous 3 years from the following entities: University of California, San Diego; Veterans Affairs Healthcare System (VA); National Institutes of Health; Forest Pharmaceuticals; GlaxoSmithKline; AstraZeneca; Cephalon; Pfizer; Johnson & Johnson; Bristol-Myers Squibb; Integral Health Decisions, Inc.; JDS Pharmaceuticals; Solvay; Lilly; Hoffmann-La Roche; UCB Pharma; Jazz Pharmaceuticals; Comprehensive NeuroScience, Inc.; and various legal firms for expert witness testimony and/or consulting. Dr. Paulus reports that he has received compensation for professional services in the past 3 years from the following entities: University of California, San Diego; Veterans Affairs Healthcare System (VA); National Institute of Mental Health; National Institute of Drug Abuse; GlaxoSmithKline; Eli Lilly; and Sepracor. Drs. Stein and Paulus report an equity interest in a private company, NeuroMarketers. Dr. Simmons and Mr. Feinstein report no competing interests.

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